



DEPARTMENT OF THE TREASURY
WASHINGTON, D.C. 20020

December 8, 2010

Steven Aftergood
Federation of American Scientists
1725 DeSales Street NW
Suite 600
Washington, DC 20036

Dear Mr. Aftergood:

This letter responds to your Freedom of Information Act request, number 2010-11-036 regarding the Department of the Treasury's fundamental classification guidance. Enclosed please find the results of the guidance review; the updated Security Classification Guide, issued December 3, 2010.

There are no costs associated with providing you the enclosed information.

Sincerely,

Robert A. McMenamin
Assistant Director (Information Security)
Office of Security Programs

Enclosure

Security Classification Guide

December 2010



DEPARTMENT OF THE
TREASURY

Department of the Treasury Security Classification Guide

This consolidated Treasury classification guide is based on individually approved guides prepared by those elements within the Departmental Offices and select bureaus whose principal officials have been designated by the Secretary of the Treasury as an Original Classification Authority (OCA). Except where individually approved guides are classified, or otherwise subject to limited distribution, they are included in this overall document.

The Treasury classification guide is a tool to aid Headquarters and bureau employees in the proper and uniform derivative classification of information within the Department. Individualized sections have been approved personally and in writing by the respective OCA's responsible for their subject areas. This authority comes from their designation as an original Top Secret or Secret classification authority in Treasury Order 105-19. This overall guide should be viewed as a pre-approval of derivative classification actions by employees (and authorized contractors) for specific subject matter described herein. Each officials' original classifications are based on section 1.4 (a through h) in Executive Order 13526, Classified National Security Information. Additional authorized classifiers within Treasury offices/bureaus will be identified annually on an updated "Report of Authorized Original Classifiers", signed by the Department's Senior Agency Official responsible for Treasury's information security program.

Any employee or contractor with proper security clearance automatically has derivative classification authority. They must have attended training on the mechanics of derivatively classifying and are limited by the level of classified information to which they are authorized; i.e., employees with Secret level clearance are not authorized to derivatively classify at the Top Secret level nor should they have access to such information. All derivative classification actions within the Department of the Treasury should be accomplished by referring to this (or any other) approved classification guide or using one or more existing classified source documents; including classified conversations, discussions or meetings, etc.

Information may be classified only if its unauthorized disclosure could reasonably be expected to result in least damage to the national security, which includes defense against transnational terrorism, and that the original classification authority is able to identify or describe the damage. By definition the national security is the national defense or foreign relations of the United States. Within a Treasury context, however, you might consider the economic viability and well-being of the United States, market sensitivity, or U.S. global competitiveness as rationale for classification.

National security information may only be prepared on equipment specifically approved for classified word processing/printing, e.g., the Treasury Secure Data Network (TSDN) or Treasury Foreign Intelligence Network (TFIN). Additionally, users must have the capability to securely store classified information as prescribed in the Information Security Oversight Office (ISOO) Directive No. 1 and the Treasury Security Manual, TD P 15-71.

As expected, if more than 50% of documents are based on information in this guide, then your document should be derivatively classified and marked accordingly. The ISOO Marking Booklet, on line at http://www.archives.gov/isoo/training/marking_book_update.pdf, contains instructions on

properly marking all classified documents. Direct any questions on the use of this guide or related inquiries on prescribed markings, time-frames for declassification, etc., to Treasury's Office of Security Programs (OSP). OSP is responsible for maintaining this overall classification guide and coordinating with DO/bureau components and OCA's to update their individual sections to reflect additional classification guidance not currently contained therein. This ensures derivative classifications are consistently and effectively applied.

With their principal officials' approval the following offices contributed item descriptions for their respective offices: Terrorism and Financial Intelligence, International Affairs, Domestic Finance, Economic Policy, and the Inspectors General. Additional items were provided by the Office of the Secretary, the Office of the Deputy Secretary, and the Office of Security Programs.

Users of this consolidated guide should reference ALL sections of this guide in exercising derivative classification decisions within the Department of the Treasury. For more information please contact OSP on (202) 622-1120.

Approved by: Charles J. Cavella, Jr.
Deputy Assistant Secretary (Security)

Review Date: December 3, 2010

Classification Guide Format

For Treasury purposes, the following format will be used for classification guides.

Reason to Classify	Item Description	Class Level	Declassification Date or Event Timeframe
One or more citations from Executive Order 13526, section 1.4(a-h)	Self-explanatory.	TS for Top Secret, S for Secret, and C for Confidential Otherwise, as noted.	Timeframe up to 10 or 25 years from the date of the document. Note that citation of 1.4(c) requires consultation with the Office of Intelligence and Analysis for the declassification timeframe.

Categories of Classifiable Information

The following categories are from Executive Order 13526. Those in bold text are more likely to be relied upon for information to be derivatively classified within the Department of the Treasury.

- 1.4(a) military plans, weapons systems or operations;
- 1.4(b) foreign government information;**
- 1.4(c) intelligence activities, (including covert action), intelligence sources or methods, cryptology;*****
- 1.4(d) foreign relations or foreign activities of the United States, including confidential sources;**
- 1.4(e) scientific, technological or economic matters relating to the national security;**
- 1.4(f) United States Government programs for safeguarding nuclear materials or facilities;
- 1.4(g) vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to the national security; or,**
- 1.4(h) the development, production, or use of weapons of mass destruction.

*** Before documents are derivatively classified citing section 1.4(c), Executive Order 13526, consult with one or more intelligence advisor in the Office of Intelligence and Analysis. That office has program responsibility over intelligence sources and methods within the Department of the Treasury.

Examples of Derivative Classification

These examples are clearly not exhaustive, but are meant to provide a general picture of the sorts of criteria to keep in mind when making a judgment about classifying information.

- > The document includes information on Chinese foreign exchange reserve composition given directly to Treasury by the Chinese government and is not available publicly, and release of this information could negatively affect U.S. relations with China. **Should be classified for reason 1.4 (b).**
- > The document discusses intelligence sources. **Should be classified for reason 1.4(c) and in consultation with one or more intelligence advisor in the Office of Intelligence and Analysis.**
- > The document includes background briefing material for a meeting with a Canadian official which describes that the U.S. intends to impose sanctions on Canada. If released, this could negatively impact U.S. trade relations with Canada by revealing U.S. interests or concerns. **Should be classified for reason 1.4 (d).**
- > The document includes economic analysis of Argentina and policy options for a National Security Council meeting which, if released, could negatively impact US policies at the International Monetary Fund by revealing U.S. concerns. **Should be classified for reason 1.4 (e).**

- > The document includes discussion of scheduled security patrols and deployment in the Main Treasury Complex. **Should be classified for reason 1.4(g).**
- > The document includes the Treasury Secretary's itinerary for an upcoming official trip which would be considered sensitive if disclosed in advance. **Should be classified for reason 1.4 (g).**

NOTE: For documents from International Financial Institutions, a determination must be made when the documents are received by Treasury as to the level of protection to be afforded; it must be a degree of protection at least equivalent to that required by the entity which provided the information.

Sample Derivative Classification Marking (Declassification Instructions)

Individuals who reproduce, extract or summarize classified information, or who apply classification markings derived from source material or as directed by a classification guide need not possess original classification authority. Persons who apply derivative classification markings shall be identified by name and position, or by personal identifier, in a manner that is immediately apparent for each derivative classification action. This is most apparent on electronically produced documents on the Treasury Secure Data Network and Treasury Foreign Intelligence Network.

Derivative classifiers shall observe and respect original classification decisions; and carry forward to any newly created documents the pertinent classification markings. For information derivatively classified based on multiple sources, the derivative classifier shall carry forward the date or event for declassification that corresponds to the longest period of classification among the sources and listing of the various source materials. Declassification instructions on paper documents shall appear on the bottom, right hand side of the first page as follows:

Single source document:

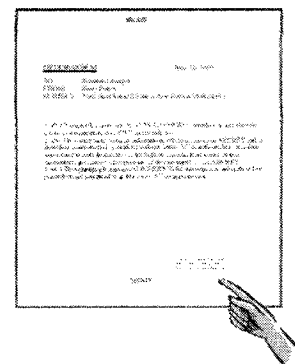
- Derived by: Name and position or personal identifier.
- Derived from: Source and date of source document.
- Declassify on: Repeat declassification date/event from source.

Multiple sources document:

- Derived by: Name and position or personal identifier.
- Derived from: Identify sources .
- Declassify on: Use declassification date/event furthest into future from among sources.

Classification guide as source document:

- Derived by: Name and position or personal identifier.
- Derived from: Treasury Classification Guide (and date of guide),
- Declassify on: Date/event prescribed by guide.



1. Domestic Finance

Reason to Classify	Item Description	Class Level	Declassification Date or Event Timeframe
1.4(b) or (d)	Information regarding overseas and domestic ongoing counterfeit investigations.	C	10 years from the date of the document.
1.4(b) or (d)	Detailed technical information regarding overseas and domestic ongoing counterfeit investigations that, if known, would cause damage to the conduct of the investigations or U.S. international relations.	S	10 years from the date of the document.
1.4(b) or (d)	Information obtained from foreign sources regarding the exact location and/or vulnerabilities, and the most effective ways to exploit the vulnerabilities of infrastructure elements or components that, were they to fail, would cause immediate, systemic, severe, and prolonged damage to the financial system.	TS	10 years from the date of the document.
1.4(b) or (d)	Information obtained from foreign sources regarding the exact location and/or vulnerabilities, and the most effective ways to exploit the vulnerabilities of infrastructure elements or components that, were they to fail, would cause serious and prolonged damage to the financial system.	S	10 years from the date of the document.
1.4(b) or (d)	Information obtained from foreign sources regarding the exact location and/or vulnerabilities, and the most effective ways to exploit the vulnerabilities of infrastructure elements or components that, were they to fail, would cause damage to the financial system.	C	10 years from the date of the document.
1.4(d)	Information that could lead to revelation of a confidential source, e.g., business firm, financial institution, Financial Services/Information Sharing and Analysis Center or private official.	C	10 years from the date of the document.
1.4(d)	Critical infrastructure information produced by the U.S., unilaterally or jointly with a foreign government, or international organization of governments, pursuant to an agreement that requires the information or agreement to be kept in confidence.	C	10 years from the date of the document.

1.4(e)	Detailed technical information originally created by the Office of the Under Secretary for Domestic Finance pertaining to the security features in U.S. currency and/or minutes of the Anti-Counterfeiting Division Steering Committee.	S	10 years from the date of the document.
1.4(e) and (g), at times (b) or (d)	Information regarding vulnerabilities in critical infrastructures that, if known, would result in immediate, systemic, severe, prolonged, and exceptionally grave damage to the national financial system, including the exact location and/or exact vulnerabilities, and the most effective ways to exploit the vulnerabilities of infrastructure elements or components of the financial system.	TS	10 years from the date of the document.
1.4(e) and (g), at times (b) or (d)	Information regarding vulnerabilities in critical infrastructures that, if known, would result in immediate, systemic and serious damage to the national financial system, including the exact vulnerabilities, and the most effective ways to exploit such vulnerabilities of infrastructure elements or components.	S	10 years from the date of the document.
1.4(e) and (g), at times (b) or (d)	Information regarding vulnerabilities in critical infrastructures that, if known, would result in damage to the national financial system, including the exact vulnerabilities of entities or major components thereof.	C	10 years from the date of the document.
1.4(e) and (g)	Analysis of information that describes in detail the specific vulnerabilities of the national financial system, and the most effective means to exploit such vulnerabilities and thereby cause exceptionally grave damage to the financial system.	TS	10 years from the date of the document.
1.4(e) and (g)	Analysis of information that describes the specific vulnerabilities of the national financial system, and the most effective means to exploit such vulnerabilities and thereby cause serious damage to the financial system.	S	10 years from the date of the document.
1.4(e) and (g)	Analysis of information that describes the specific vulnerabilities of important sectors of the national financial system, and the most effective means to exploit such vulnerabilities and thereby cause damage to such sectors of the financial system.	C	10 years from the date of the document.
1.4(e) and (g)	Analysis of information that describes the specific vulnerabilities of the financial systems of foreign nations, and the most effective means to exploit such vulnerabilities, where failures would cause serious and prolonged damage to the U.S. financial system.	S	10 years from the date of the document.

1.4(e) and (g)	Analysis of information that describes the specific vulnerabilities of the important sectors of the financial systems of foreign nations, and the most effective means to exploit such vulnerabilities, where failures would cause damage to the U.S. financial system, or damage to specific, and important sectors of the U.S. financial system.	C	10 years from the date of the document.
1.4(e) and (g)	Descriptions of planned responses by the U.S. Government to incidents that would cause severe, and prolonged damage to the national financial system, including specific measures, contingency options, and other measures requiring action by <u>all</u> national financial policy makers.	S	10 years from the date of the document.
1.4(e) and (g)	Descriptions of planned responses by the U.S. Government to incidents that would cause severe, and prolonged damage to important sectors of the financial system, including specific measures, contingency options, and other measures requiring action by financial policy makers.	C	10 years from the date of the document.
1.4(e) and (g)	Current analyses of trends or patterns in the vulnerability of the financial system to prolonged damage, or current analyses that describe emerging areas of vulnerability to the financial system, where such vulnerabilities may cause prolonged damage to the national financial system.	C	10 years from the date of the document.
1.4(e) and (g)	Analysis or descriptions of positions that the U.S. will take with foreign nations when negotiating for the reduction of critical infrastructure vulnerabilities that would have a severe and prolonged damaging effect on the U.S. financial system.	S	10 years from the date of the document.
1.4(e) and (g)	Analysis or descriptions of positions that the U.S. will take with foreign nations when negotiating for the reduction of critical infrastructure vulnerabilities that would have a prolonged damaging effect on an important sector of the U.S. financial system.	C	10 years from the date of the document.

2. International Affairs

Reason to Classify	Item Description	Class Level	Declassification Date or Event Timeframe
1.4(b)	Details of foreign exchange reserve composition or investment portfolios that are not disclosed within a country, which, if released, could negatively impact national security by revealing U.S. interests or concerns.	C	10 years from the date of the document.
1.4(d)	Information that could lead to revelation of a confidential source, e.g., market, business, or foreign or private official.	C	10 years from the date of the document.
1.4(d)	Explicit statements of policy views not officially acknowledged and information used to prepare for discussions or negotiations with a foreign power or which might serve as background for such occurrence, which, if released, could negatively impact national security by revealing U.S. interests or concerns.	C	10 years from the date of the document.
1.4(d)	Explicit statements of policy views not officially acknowledged and information used to prepare for internal U.S. government policy deliberations which, if released, could negatively impact national security by revealing U.S. interests or concerns.	C	10 years from the date of the document.
1.4(d)	Information intended for use in discussions or negotiations with a foreign government or quasi-national entity, or that may serve as background for such an occurrence which could be expected to cause exceptionally grave damage to the national security.	TS	10 years from the date of the document.
1.4(d)	Information intended for use in discussions or negotiations with a foreign government or quasi-national entity, or that may serve as background for such an occurrence which could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d)	Information intended for use in discussions or negotiations with a foreign government or quasi-national entity, or that may serve as background for such an occurrence which could be expected to cause damage to the national security.	C	10 years from the date of the document.

1.4(d)	Information produced by the United States, or jointly with a foreign government, or international organization of governments, pursuant to an agreement that requires the information or agreement to be kept in confidence.	C	10 years from the date of the document.
1.4(d) or (e)	Information that reveals, or tends to reveal, specific U.S. policy interests, options, concerns, or considerations which, if released, could negatively impact on the conduct or U.S. foreign economic policy which could cause exceptionally grave damage to the national security.	TS	10 years from the date of the document.
1.4(d) or (e)	Information that reveals, or tends to reveal, specific U.S. policy interests, options, concerns, or considerations which, if released, could negatively impact on the conduct or U.S. foreign economic policy which could cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d) or (e)	Information that reveals, or tends to reveal, specific U.S. policy interests, options, concerns, or considerations which, if released, could negatively impact on the conduct or U.S. foreign economic policy which could cause damage to the national security.	C	10 years from the date of the document.
1.4(d) or (e)	Treasury analysis of economic, industrial, or technological capabilities of foreign nations allied or friendly with the U.S. which would be damaging to overall U.S. foreign relations potentially causing exceptionally grave damage to the national security.	TS	10 years from the date of the document.
1.4(d) or (e)	Treasury analysis of economic, industrial, or technological capabilities of foreign nations allied or friendly with the U.S. which would be damaging to overall U.S. foreign relations potentially causing serious damage to the national security.	S	10 years from the date of the document.
1.4(d) or (e)	Treasury analysis of economic, industrial, or technological capabilities of foreign nations allied or friendly with the U.S. which would be damaging to overall U.S. foreign relations potentially causing damage to the national security.	C	10 years from the date of the document.
1.4(d) or (e)	Treasury analysis of current policy alternatives (economic or otherwise) open to a foreign government	TS	10 years from the date of the document.

	which, if released, would negate a potential foreign policy or economic approach that could be expected to cause exceptionally grave damage to the national security.		
1.4(d) or (e)	Treasury analysis of current policy alternatives (economic or otherwise) open to a foreign government which, if released, would negate a potential foreign policy or economic approach that could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d) or (e)	Treasury analysis of current policy alternatives (economic or otherwise) open to a foreign government which, if released, would negate a potential foreign policy or economic approach that could be expected to cause damage to the national security.	C	10 years from the date of the document.
1.4(e)	Information which reveals U.S. government economic policy options, which, if released, could negatively impact national security by revealing U.S. interests or concerns.	C	10 years from the date of the document.
1.4(e)	Economic information and analysis of foreign government situations, planning, policies or data related to international trade, finance, investment, technological capabilities, economic development, or strategies which, if released, could cause exceptionally grave damage and negatively impact the national security by revealing U.S. interests or concerns.	TS	10 years from the date of the document.
1.4(e)	Economic information and analysis of foreign government situations, planning, policies or data related to international trade, finance, investment, technological capabilities, economic development, or strategies which, if released, could cause serious damage and negatively impact the national security by revealing U.S. interests or concerns.	S	10 years from the date of the document.
1.4(e)	Economic information and analysis of foreign government situations, planning, policies or data related to international trade, finance, investment, technological capabilities, economic development, or strategies which, if released, could cause damage and negatively impact the national security by revealing U.S. interests or concerns.	C	10 years from the date of the document.

1.4(e)	Significant analytical judgments based upon individually unclassified items, concerning the economic situation of a foreign government, which, if released, could cause serious damage and negatively impact the national security by revealing U.S. interests or concerns.	S	10 years from the date of the document.
1.4(e)	Analytical judgments based upon individually unclassified items, concerning the economic situation of a foreign government, which, if released, could damage and negatively impact the national security by revealing U.S. interests or concerns.	C	10 years from the date of the document.
1.4(c) (d) (e) or (g)	Treasury input to the National Security Council on policy matters, the unauthorized disclosure of which reasonably could be expected to cause serious damage to the national security.	S	10 years from the date of the document. When citing 1.4(c) consult with the Office of Intelligence & Analysis (OIA) for declassification timeframe.
1.4(c) (d) (e) or (g)	Treasury input to the National Security Council on policy matters, the unauthorized disclosure of which reasonably could be expected to cause damage to the national security.	C	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(c) (d) (e) or (g)	Interagency discussions leading to the establishment of an overall U.S. position in negotiations with foreign governments, the unauthorized disclosure of which reasonably could be expected to cause serious damage to the national security.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(c) (d) (e) or (g)	Interagency discussions leading to the establishment of an overall U.S. position in negotiations with foreign governments, the unauthorized disclosure of which reasonably could be expected to cause damage to the national security.	C	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(d)	Assessments or related monetary or economic policy discussions/messages with U.S. diplomatic personnel concerning a host country and/or other governments.	S	10 years from the date of the document.

	This might include, but is not limited to, information which reveals Treasury or Government agency analysis of a current economic policy alternative open to a foreign government.		
1.4(d)	Interagency discussions leading to establishment of an overall position in negotiations with foreign governments.	S	10 years from the date of the document.
1.4(d)	Information, not in the public domain, pertaining to such foreign economic planning data as pricing practices, defense industry organization, production technology, or the like.	S	10 years from the date of the document.
1.4(d)	Information intended for use in discussions or negotiations with a foreign government or quasi-national entity, or that might serve as background for such an occurrence.	S	10 years from the date of the document.
1.4(d)	Information produced by the U.S., whether unilaterally or jointly with a foreign government or internal organization of government, pursuant to an agreement that requires that the information or agreement be kept in confidence, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d)	Information produced by the U.S., whether unilaterally or jointly with a foreign government or internal organization of government, pursuant to an agreement that requires that the information or agreement be kept in confidence, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause damage to the national security.	C	10 years from the date of the document.
1.4(d) or (e)	Analyses and assessments of economic and financial conditions and policies of particular countries and the implications thereof, the unauthorized disclosure of which reasonably could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d) or (e)	Analyses and assessments of economic and financial conditions and policies of particular countries and the implications thereof, the unauthorized disclosure of which reasonably could be expected to cause damage	C	10 years from the date of the document.

	to the national security.		
1.4(b) (d) or (e)	Foreign Government Information provided to the U.S. in confidence by, or information produced by the U.S. pursuant to a written joint arrangement requiring confidentiality with a foreign government or international organization of governments, the unauthorized disclosure of which reasonably could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(b) (d) or (e)	Foreign Government Information provided to the U.S. in confidence by, or information produced by the U.S. pursuant to a written joint arrangement requiring confidentiality with a foreign government or international organization of governments, the unauthorized disclosure of which reasonably could be expected to cause damage to the national security.	C	10 years from the date of the document.
1.4(d) or (e)	U.S. Government information pertaining to U.S. policy towards and negotiations with foreign governments or international institutions; evaluations of the adequacy or motivation of policies, persons, or institutions; the unauthorized disclosure of which reasonably could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d) or (e)	U.S. Government information pertaining to U.S. policy towards and negotiations with foreign governments or international institutions; evaluations of the adequacy or motivation of policies, persons, or institutions; the unauthorized disclosure of which reasonably could be expected to cause damage to the national security.	C	10 years from the date of the document.
1.4(e)	Policy discussions regarding illegal flow of arms/explosives to and from foreign nations under munitions control list and missile technology control regime.	C	10 years from the date of the document.
1.4(g)	Information not in the public domain, pertaining to domestic critical installations and infrastructure, including but not limited to targets considered to be of high value to the economic interests of the U.S. or foreign governments and/or vulnerabilities of such.	C	10 years from the date of the document.

3. Terrorism and Financial Intelligence

Reason to Classify	Item Description –	Class Level	Declassification Date or Event Timeframe
1.4(b), (c), (d), (e) and/or (g)	<p>Deliberations of the National Security Council (NSC), Principals Committee (PC), and Deputies Committee (DC) - including subject lines, the scheduling of meetings and the topics to be discussed.</p> <p>* May be Secret or Top Secret depending on the sensitivity of the topic, and/or classification of supporting documents, including NSC papers.</p>	<p>Minimum of Confidential*</p> <p>*See item description notation.</p>	<p>10 years from the date of the document but consult with the Office of Intelligence and Analysis (OIA) when intelligence information /topics are involved to determine classification time period.</p>
1.4(b), (c), (d), (e) and/or (g)	<p>Treasury Department responses (including internal working papers, drafts, e-mails, and interagency correspondence) in support of and/or referencing National Security Council (NSC), Principals Committee (PC), and Deputies Committee (DC) meetings and deliberations - including subject lines, the scheduling of meetings and topics to be discussed or under consideration.</p> <p>* May be Secret or Top Secret depending on the sensitivity of the topic, and/or classification of supporting documents, including NSC papers.</p>	<p>Minimum of Confidential*</p> <p>* See item description notation.</p>	<p>10 years from the date of the document but consult with OIA when intelligence information /topics are involved to determine classification time period.</p>
1.4(b), (c), (d), (e) and/or (g)	<p>Individually unclassified items (from documents, conversations/meetings, e-mails or subject lines) that in the compilation refer to, contain, infer or paraphrase National Security Council (NSC), Principals Committee (PCD), and Deputies Committee (DC) meetings and deliberations - including the scheduling of meetings and the topics to be discussed or under consideration.</p> <p>* May be Secret or Top Secret depending on the sensitivity of the topic, and/or classification of supporting documents, including NSC papers.</p>	<p>Minimum of Confidential*</p> <p>* See item description notation.</p>	<p>10 years from the date of the document but consult with OIA when intelligence information /topics are involved to determine classification time period.</p>
1.4(c)	<p>Information that could lead to revelation of a confidential intelligence source or activity.</p>	<p>S</p>	<p>Consult with the OIA for declassification timeframe.</p>

1.4(c)	Identification of intelligence sources or methods and Treasury input to products/projects of the Intelligence Community or its members. Treasury originated information which involves intelligence, activities, sources or methods, if information is of such sensitivity that unauthorized disclosure could reasonably be expected to cause exceptionally grave damage to the national security.	TS	Consult with OIA for declassification timeframe.
1.4(c)	Identification of intelligence sources or methods if information is of such sensitivity that unauthorized disclosure could reasonably be expected to cause serious damage to the national security.	S	Consult with OIA for declassification timeframe.
1.4(d) or (e)	Information not in the public domain that might lead a foreign country or political or terrorist movement to believe that the U.S. or any nation allied or friendly with the U.S., is preparing to undertake political or economic action against them or their allies (regardless of whether it is true) that could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d) or (e)	Information not in the public domain that might lead a foreign country or political or terrorist movement to believe that the U.S. or any nation allied or friendly with the U.S., is preparing to undertake political or economic action against them or their allies (regardless of whether it is true) that could be expected to cause damage to the national security.	C	10 years from the date of the document.
1.4(g)	Information that could lead to the identification of an individual as a confidential source and, if divulged, could preclude the use or cooperation of the individual.	S	10 years from the date of the document.
1.4(g)	Policy discussions regarding illegal financial transactions to/from foreign nations and/or involving private organizations to suspected or known terrorist organizations and individuals.	S	10 years (or less) from the date of the document.
1.4(b)	Foreign government information pertaining to terrorism, the financing of terrorism, criminal acts, financial crimes or suspected activities of such sensitivity that unauthorized disclosure could reasonably be expected to cause exceptionally grave damage to the national security or the security of a foreign state.	TS	10 years from the date of the document.

1.4(b)	Foreign government information pertaining to terrorism, the financing of terrorism, criminal acts, financial crimes or suspected activities of such sensitivity that unauthorized disclosure could reasonably be expected to cause serious damage to the national security or the security of a foreign state.	S	10 years from the date of the document.
1.4(c) or (d)	Information regarding awards, rewards or payments to confidential sources or informants that could lead to revelation of the source or informant.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4 (b), (c) or (d)	Financial transactions which involve or affect a foreign government or governments, the unauthorized disclosure of which reasonably could be expected to cause serious damage to the national security.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4 (b), (c) or (d)	Financial transactions which involve or affect a foreign government or governments, the unauthorized disclosure of which reasonably could be expected to cause damage to the national security.	C	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4 (b), (c) or (d)	Interagency discussions held to establish an overall national position in negotiations with foreign governments, the unauthorized disclosure of which reasonably could be expected to cause serious damage to the national security.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4 (b), (c) or (d)	Interagency discussions held to establish an overall national position in negotiations with foreign governments, the unauthorized disclosure of which reasonably could be expected to cause damage to the national security.	C	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.

1.4(b), (d) or (e)	Assessments of economic and financial status of foreign countries particularly with reference to their international financial transactions.	C	10 years from the date of the document.
1.4(b), (d) or (e)	Negotiations between governments and international Financial Intelligence Units (FIU's) relating to anti-money laundering and related law enforcement activities.	C	10 years from the date of the document.
1.4(b), (d) or (e)	Information requiring protection provided by foreign governments or FIUs or international organizations or an official representative thereof, e.g., money laundering trends, financial analysis tools and technological developments.	C	10 years from the date of the document.
1.4(b), (d) or (e)	International narcotics trafficking, including financial transactions which affect foreign governments.	C	10 years from the date of the document.
1.4(b), (d) or (e)	Illegal flow or arms, explosives, and finances to foreign nations including the movement or arms and munitions as enumerated in the U.S. Munitions List of the International Traffic in Arms Regulations.	C	10 years from the date of the document.
1.4(b), (d) or (e)	Administration and enforcement of laws related to U.S. citizens engaging in activity between foreign countries or within a foreign country when such activity is a violation of any U.S. law or regulation.	C	10 years from the date of the document.
1.4(b), (d) or (e)	Law enforcement information obtained incidental to an investigation which discloses the involvement of foreign government officials and criminal activity in the U.S. or any foreign country.	C	10 years from the date of the document.
1.4(b), (d) or (e)	Information on foreign governments, classes of persons or persons transporting, mailing or shipping or causing such transportation, mailing or shipping of more than \$10K on any one occasion between the U.S. and foreign nations.	C	10 years from the date of the document.
Reason to Classify	Item Description – Office of Foreign Assets Control	Class Level	Declassification Date or Event Timeframe
1.4(b) or (d)	Information obtained from confidential sources (foreign or domestic) related to targets being investigated under designation sanctions programs.	S	10 years from the date of the document.
1.4(d)	Information identifying intelligence resources devoted to the implementation of the Foreign Narcotics Kingpin Designation Act.	S	10 years from the date of the document.
1.4(d)	Information intended for OFAC, Treasury, or U.S. Government use in policy considerations related to the creation or imposition of new economic sanctions programs or modification or existing economic sanctions programs.	S	10 years from the date of the document.

1.4(b), (c) or (d)	Information that discloses the involvement of a foreign government or a foreign non-governmental organization.	S	10 years from the date of the document. When citing 1.4(c) consult with the Office of Intelligence and Analysis for declassification timeframe.
1.4(b)	Information provided in confidence to OFAC by a foreign government, whether or not marked as classified by the foreign government.	C	10 years from the date of the document.
1.4(b) or (d)	Information provided in confidence to OFAC by a foreign or domestic source.	C	10 years from the date of the document.
1.4(d)	Information produced by OFAC, unilaterally or jointly with a foreign government or international organization of governments, pursuant to an agreement that requires the information or agreement to be kept in confidence.	C	10 years from the date of the document.
1.4(d)	Information that reveals, or tends to reveal, the identity of a foreign confidential source, if the unauthorized disclosure of that identity will result in harm to the source or the inability of the source to continue providing information.	S	10 years from the date of the document.
1.4(d)	Interagency or intra-Treasury discussions leading to the establishment of an OFAC, Treasury, or U.S. Government position with foreign governments.	C	10 years from the date of the document.
1.4(d)	Information intended for OFAC, Treasury or U.S. Government use in discussions or negotiations with a foreign government or with a foreign private or quasi-private entity with the knowledge of the concerned foreign government.	C	10 years from the date of the document.
1.4(d)	Information that reveals or tends to reveal specific U.S. policy interests, options, concerns or considerations which, if released, could negate or seriously impair potential U.S. foreign economic policy measures.	S	10 years from the date of the document.
1.4(d)	Information that would lead a foreign country, entity, or individual to believe that the U.S. or any nation allied or friendly with the U.S., is preparing to undertake economic action against it or its allies, regardless of whether it is true.	C	10 years from the date of the document.
1.4(d)	Information regarding Treasury or OFAC activities in cooperation with foreign authorities, including plans and prospects for such activities.	C	10 years from the date of the document.
1.4(d)	Information that could be construed as representing a view of the U.S. Government when	C	10 years from the date of the document.

	such a view has not been officially acknowledged.		
1.4(d)	Strategies of implementing sanctions, which, if divulged, would impair the effectiveness of those strategies.	S	10 years from the date of the document.
1.4(d)	Details of official foreign travel which, if divulged, would impair the effectiveness and timeliness of a Treasury or OFAC action or policy.	C	10 years from the date of the document.
1.4(e)	Policy discussions regarding the implementation of economic sanctions.	C	10 years from the date of the document.
1.4(e)	Assessments of the prospects for economic sanctions, including plans, even if based upon individually unclassified items.	C	10 years from the date of the document.
1.4(e)	Information that reveals a Treasury or OFAC analysis of a policy option open to a foreign government.	C	10 years from the date of the document.
1.4(g)	Information regarding physical or technical security devices or techniques used to protect OFAC personnel or facilities outside the U.S., which, if divulged, could result in harm to OFAC personnel or facilities outside the U.S.	S	10 years from the date of the document.
Reason to Classify	Item Description – Security Programs	Class Level	Declassification Date or Event Timeframe
1.4(b) (d) or (g)	Information on terrorist groups, bombs and bomb threats to Treasury/bureau facilities and locations housing Departmental personnel, property and information.	C	10 years from the date of the document.
1.4(g)	Information used in personnel investigations and security inquiries where disclosure would impede or negate those activities.	C	10 years from the date of the document.
1.4(c) or (g)	Operations security reviews/studies of security practices or procedures that contain information, documentation compiled, or synopsised, the aggregation of which collectively would cause serious damage to the national security.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(c) or (g)	Information identifying the location of, concerning the design construction, and/or internal communications systems of intelligence facilities where disclosure could aid in their penetration.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(g)	Protection-related activities, including procedures, equipment or manpower.	C	10 years from the date of the document.
1.4(g)	Security container surreptitious and forced entry techniques where disclosure could impede or negate this capability.	S	10 years from the date of the document.

1.4(g)	Aggregated information concerning physical security devices, placement of equipment, capabilities and/or techniques, which if divulged could result in physical or electronic penetration, destruction, or damage to a Treasury/bureau facility, of such sensitivity that unauthorized disclosure could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(g)	Information about individual physical security devices, placement of equipment, capabilities and/or techniques, which if divulged could result in physical or damage to such devices/equipment or compromise techniques.	C	10 years from the date of the document.
1.4(g)	Information that would reveal overall design plans and detailed specifications of Treasury/bureau security features protecting secure facilities, rooms and/or areas including detailed maps/schematics, structural plans, facility and electrical diagrams, architectural drawings, equipment vulnerabilities and related monitoring patterns.	S	10 years from the date of the document.
1.4(g)	Information that would reveal individual security features protecting secure facilities.	C	10 years from the date of the document.
1.4(g)	Information that would reveal numbers of security staff providing protection services at scheduled Treasury/bureau events/celebrations.	C	10 years from the date of the document.
1.4(g)	Security container (safe) or lock combinations when coupled with information identifying the safe/lock combination and the location of the safe or other equipment to which the lock is attached.	Highest level of information protected by safe/lock	10 years from the date of the document.
1.4(g)	Information revealing details of security violations involving Information Technology (IT) systems operating while the vulnerability still exists and pending resolution.	Same level as the IT system.	10 years from the date of the document.
1.4(g)	Information concerning classified IT systems, hardware/software, or procedures which could be used to identify security vulnerabilities.	Same level as the IT system.	10 years from the date of the document.
1.4(g)	A password for access to any Treasury classified information system.	Same level as the IT system	10 years from the date of the document.
1.4(e) or (g)	Reports that identify specific corrected and/or uncorrected vulnerabilities; computer security plans; contingency plans, computer security vulnerability remedial plans, or risk assessments on IT systems.	Same level as the IT system.	10 years from the date of the document.
1.4(e) or (g)	Computer security incidents on IT systems that describe the type of threat, incident response	Same level as the IT	10 years from the date of the document.

	handling and damage/impact.	system.	
1.4(e) or (g)	Reports concerning information about malicious logic, successful unauthorized access, repeated probes and reconnaissance scans, denial of service and alteration/compromise of IT systems.	Same level as the IT system.	10 years from the date of the document.
1.4(g)	Technical security countermeasure techniques, equipment and locations being surveyed including the knowledge of such activity taking place for the duration thereof.	Secret	10 years from the date of the document.

4. Inspector General

Reason to Classify	Item Description	Class Level	Declassification Time Frame
1.4(c) (d) or (e)	Law Enforcement/Audit information obtained in the course of an investigation/audit which indicates involvement of foreign/domestic officials in criminal activity in the U.S. or any foreign country, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause exceptionally grave damage to the national security.	TS	10 years from the date of the document. When citing 1.4(c) consult with Office of Intelligence & Analysis (OIA) for declassification timeframe.
1.4(c) (d) or (e)	Law Enforcement/Audit information obtained in the course of an investigation/audit which indicates involvement of foreign/domestic officials in criminal activity in the U.S. or any foreign country, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause serious damage to the national security.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(c) (d) or (e)	Reports of Investigation/Audit containing information or documentation coming to the attention of the Office of Inspector General which falls within the definition of national security, if material is of such sensitivity that unauthorized disclosure could be expected to cause serious damage to the national security.	S	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(c) (d) or (e)	Reports of Investigation/Audit containing information or documentation coming to the attention of the Office of Inspector General which falls within the definition of national security, if material is of such sensitivity that unauthorized disclosure could be expected to cause damage to the national security.	C	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.
1.4(c) (d) or (e)	Reports of Investigation/Audit containing information or documentation compiled or synopsised for reports of investigation/audits, the aggregation of which, when viewed collectively, falls within the definition of national security information, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause exceptionally grave damage to the national security.	TS	10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.

<p>1.4(c) (d) or (e)</p>	<p>Reports of Investigation/Audit containing information or documentation compiled or synopsised for reports of investigation/audits, the aggregation of which, when viewed collectively, falls within the definition of national security information, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause serious damage to the national security.</p>	<p>S</p>	<p>10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.</p>
<p>1.4(c) (d) or (e)</p>	<p>Reports of Investigation/Audit containing information or documentation compiled or synopsised for reports of investigation/audits, the aggregation of which, when viewed collectively, falls within the definition of national security information, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause damage to the national security.</p>	<p>C</p>	<p>10 years from the date of the document. When citing 1.4(c) consult with OIA for declassification timeframe.</p>
<p>1.4(d)</p>	<p>Information produced by the U.S., whether unilaterally or jointly with a foreign government or internal organization of government, pursuant to an agreement that requires that the information or agreement be kept in confidence, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause serious damage to the national security.</p>	<p>S</p>	<p>10 years from the date of the document.</p>
<p>1.4(d)</p>	<p>Information produced by the U.S., whether unilaterally or jointly with a foreign government or internal organization of government, pursuant to an agreement that requires that the information or agreement be kept in confidence, if material is of such sensitivity that unauthorized disclosure could reasonably be expected to cause damage to the national security.</p>	<p>C</p>	<p>10 years from the date of the document.</p>

5. Economic Policy

Reason to Classify	Item Description	Class Level	Declassification Time Frame
1.4(c)	Treasury input to products and projects of the Intelligence Community or its members.	S	When citing 1.4(c) consult with the Office of Intelligence & Analysis (OIA) for declassification timeframe.
1.4(d)	Information intended for use in discussions or negotiations with a foreign government or quasi-national entity, or that might serve as background for such an occurrence.	S	10 years from the date of the document.
1.4(d)	Assessments or related monetary or economic policy discussions/messages with U.S. diplomatic personnel concerning a host country and/or other governments. This might include, but is not limited to, information which reveals Treasury or Government agency analysis of a current economic policy alternative open to a foreign government.	S	10 years from the date of the document.
1.4(d)	Interagency discussions leading to establishment of an overall position in negotiations with foreign governments.	S	10 years from the date of the document.
1.4(e)	Policy discussions regarding illegal flow of arms/explosives to and from foreign nations under munitions control list and missile technology control regime.	S	10 years from the date of the document.
1.4(g)	Information, not in the public domain, pertaining to such foreign economic planning data as pricing practices, defense industry organization, production technology, or the like.	S	10 years from the date of the document.
1.4(g)	Information, not in the public domain, pertaining to domestic critical installations and infrastructure, including but not limited to high economic value targets and/or vulnerabilities of such.	S	10 years from the date of the document.

6. Miscellaneous

Reason to Classify	Item Description	Class Level	Declassification Date or event Timeframe
1.4(c)	Information that links the Office of Intelligence (OIA) and Analysis' intelligence program with the budget amounts or number of personnel.	S	25 years from the date of the document in consultation with the Office of Intelligence & Analysis (OIA).
1.4(c)	Information on Treasury intelligence budget expenditures, funding, or other financial matters that reveals the effort (or lack of effort) committed to intelligence-related activities.	S	25 years from the date of the document in consultation with OIA.
1.4(c)	Specific budget documents, financial guidance and reporting memoranda showing expenditures; all financial information describing Treasury's intelligence condition and resource figures (dollars and manpower); and all financial documents developed to justify Treasury's portion of the National Foreign Intelligence Program budget.	S	25 years from the date of the document in consultation with OIA.
1.4(c)	Information concerning a specific expenditure which, if divulged, would tend to reveal an intelligence objective, capability, or procedure.	C	25 years from the date of the document in consultation with OIA.
1.4(c)	Information dealing with budget expenditures, funding, or other fiscal matters which could reveal analytical production activities undertaken, or to be undertaken, in support of national foreign intelligence policy.	S	25 years from the date of the document in consultation with OIA.
1.4(d)	Information that could be construed as representing a view of the U.S. Government when such a view has not been officially acknowledged that could be expected to cause exceptionally grave damage to the national security.	TS	10 years from the date of the document.
1.4(d)	Information that could be construed as representing a view of the U.S. Government when such a view has not been officially acknowledged that could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(d)	Information that could be construed as representing a	C	10 years from the date

	view of the U.S. Government when such a view has not been officially acknowledged that could be expected to cause damage to the national security.		of the document.
1.4(b) or (d)	Drafts of income or estate tax conventions prepared by the U.S. or a foreign government for diplomatic consideration.	C	10 years from the date of the document.
1.4(b) or (d)	Tariff administration affecting the national security.	C	10 years from the date of the document.
1.4(b) or (d)	Unusually sensitive income tax matters affecting U.S. or foreign officials/nationals, international organizations and/or U.S. based or foreign subsidiaries of domestic or foreign firms.	C	10 years from the date of the document.
1.4(g)	Details of the Secretary's and/or Deputy Secretary's official foreign travel that would be considered sensitive if disclosed in advance.	C	10 years from the date of the document.
1.4(g)	Information detailing emergency preparedness contingency plans, if material is of such sensitivity that unauthorized disclosure could be expected to cause serious damage to the national security.	S	10 years from the date of the document.
1.4(g)	Aggregation of four (4) or more unclassified Continuity of Operations plans within a single document.	S	10 years from the date of the document.
1.4(g)	Administration and enforcement of Internal Revenue laws.	C	10 years from the date of the document.