Appeal: 11-5028 Document: 13 Date Filed: 11/09/2011 Page: 1 of 5

UNITED STATES COURT OF APPEALS FOR THE FOURTH CIRCUIT DOCKETING STATEMENT--CRIMINAL CASES

Directions: Counsel must make a docketing statement (criminal) filed entry in CM/ECF within 14 days of filing a notice of appeal or cross appeal, or within the due date set by the clerk's docketing notice, whichever is later. File with the entry the (1) docketing statement form and any extended answers, (2) any transcript order form, and (3) any CJA 24 authorization form. Counsel who filed the notice of appeal is responsible for filing the docketing statement even if different counsel will handle the appeal. In criminal cases, counsel who represented the defendant below must continue on appeal unless the court of appeals grants a motion to withdraw. Appellants proceeding pro se are not required to file a docketing statement. Opposing counsel may file objections to the docketing statement within 10 days of service using the entry docketing statement objection/correction filed.

Appeal No. & Caption	11-5028; United States v. Jeffrey Sterling
Originating No. & Caption	1:10-cr-00485-LMB-1; United States v. Jeffrey Sterling
District Court & Judge	U.S. District Court for the EDVA at Alexandria; Brinkema, L.M.

Jurisdiction (answer any that apply)			
Date of entry of order/judgment appealed from	7/29/11; 10/12/11; 10/14/11		
Date this notice of appeal filed	10/19/11		
If cross appeal, date first notice of appeal filed			
Date of filing any post-judgment motion			
Date order entered disposing of any post-judgment motion			
Date of filing any motion to extend appeal period			
Time for filing appeal extended to			
Is appeal from final order or judgment?	O Yes	© No	
If appeal is not from final judgment, why is order appealable? Exclusion of evidence - 18 U.S.C. § 3731; CIPA - 18 U.S.C. Appendix 3, § 7			

Transcript (transcript order & CJA 24 must be attached if transcript not yet on file)		
Is transcript needed for this appeal?	© Yes	O No
Has transcript been filed in district court?	O Yes	⊙ No
Is transcript order attached?	O Yes	⊙ No
(For CJA counsel) Is CJA 24 attached?	O Yes	⊙ No

Appeal: 11-5028 Document: 13 Date Filed: 11/09/2011 Page: 2 of 5

Case Handling Requirements (answer any that apply)		
Case number of any prior appeal in same case		
Case number of any pending appeal in same case		
Identification of any case pending in this Court		
or Supreme Court raising similar issue	If abeyance or consolidation is warranted, counsel must file an appropriate motion.	
Is expedited disposition necessary?	€ Yes	O No
	If yes, motion to expe	dite must be filed.

Issues (Non-binding statement of issues to raise on appeal. Attach additional page if necessary.)

- (1) Whether the district court erred in finding that author James Risen was protected by a "reporter's privilege" and, therefore, could not be compelled to testify at trial as to the identity of persons who unlawfully communicated highly classified national defense information to Risen and as to other relevant matters regarding the receipt by Mr. Risen of that information;
- (2) Whether the district court erred in ordering the disclosure to the defendant and jury of classified information regarding the identity of certain government witnesses (CIPA issue); and
- (3) Whether the district court erred in striking the testimony of two government witnesses for the late pre-trial disclosure of potential impeachment information about these witnesses.

Appellant's Name & Address	Counsel's Name & Address
Name: United States of America Address: United States Attorney's Office 2100 Jamieson Avenue Alexandria, VA 22314	Name: James L. Trump Address: United States Attorney's Office 2100 Jamieson Avenue Alexandria, VA 22314
E-Mail:	E-Mail: jim.trump@usdoj.gov
Phone: 703-299-3700	Phone: 703-299-3726

Certificate of Service: I certify that on Nover served on all parties or their counsel of record registered users or, if they are not, by serving below:	through the CM/ECF system if they are
Peter King Stackhouse	
Counsel for Intervenor James Risen	
219 Lloyds Lane	
Alexandria, VA 22301-0000	
4	
Signature: Amush. Ju	Date: November 9, 2011
()	

Appeal: 11-5028 Document: 13 Date Filed: 11/09/2011 Page: 4 of 5

IN THE UNITED STATES COURT OF APPEALS FOR THE FOURTH CIRCUIT

UNITED STATES OF AMERICA)	No. 08-4002
Plaintiff – Appellant)	(1:10-cr-00485-LMB-1)
v.)	
JEFFREY ALEXANDER STERLING)	
Defendant – Appellee)	

SUPPLEMENT TO DOCKETING STATEMENT

The United States of America, by and through its attorneys, provides the following extended answer to the docketing statement filed November 9, 2011:

Transcript:

There are several hearing transcripts applicable to this appeal. All have been prepared by the court reporters. Two of those transcripts (October 13 and 14, 2011) have not been filed with the district court because they are undergoing classification review. These transcripts will most likely be filed with the court within a few days.

Respectfully submitted,

NEIL H. MACBRIDE UNITED STATES ATTORNEY

By: /s James L. Trump

Assistant United States Attorney

Appeal: 11-5028 Document: 13 Date Filed: 11/09/2011 Page: 5 of 5

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on November 9, 2011, the foregoing document was served on all parties or their counsel through the CM/ECF system if they are registered users or, of they are not, by serving a true and correct copy at the addresses listed below:

Peter King Stackhouse Counsel for Intervenor James Risen 219 Lloyds Lane Alexandria, VA 22301-0000

> /s James L. Trump Assistant United States Attorney 2100 Jamieson Avenue Alexandria, Virginia 22314 (703) 299-3726