

SELECT COMMITTEE ON
INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

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PART A - BIOGRAPHICAL INFORMATION

1. NAME: Nicholas J. Rasmussen
2. DATE AND PLACE OF BIRTH: 02/28/1965 Fairfax County, VA
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: _____ [REDACTED] _____
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: _____ [REDACTED] _____
6. NAMES AND AGES OF CHILDREN:

<u>NAME</u>	<u>AGE</u>
None	

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
Princeton University	1988 - 1990	Master's in Public/International Affairs	1990
Wesleyan University	1983 - 1987	B.A.	1987

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
ODNI/NCTC	Deputy Director	Liberty Crossing	2012 - Present
National Security Council Staff (on detail from ODNI/NCTC)	Sen Dir for CT	White House	2007 - 2012
ODNI/NCTC (initially on detail from State Department before being permanently hired by ODNI)	Chief, Strategic Assessment	Liberty Crossing	2004-2007

National Security Council Staff (on detail from State Department)	Director for Regional CT Affairs	White House	2001 -2004
Council on Foreign Relations (fellowship was considered a long term training assignment while at State Department)	International Affairs Fellow		2001
Department of State	Special Asst to Middle East Coordinator	State Dept.	1996-2001
Department of State	Special Asst to North Korea Coordinator		1994-1996
Department of State	Foreign Affairs Officer		1991 - 1994
Law firm of Stevens, Davis, Miller & Moser (temporary job after completion of graduate school pending security clearance from State Department)	Messenger/driver		1990
Department of State / US Embassy Manila	Summer Intern		1989
Carnegie Endowment for International Peace	Research Fellow		1988
Office of the Secretary of Defense	Intern		1987

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

All previous government experience is included in question #8.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

As set forth below, in the course of my 23+ years of government service, and particularly during the last 13 years when I have worked almost exclusively on terrorism and counterterrorism issues, I have gained significant experience with and perspective on terrorism related intelligence issues, including the analytic, operational, policy/strategy and management aspects of those issues.

NCTC Deputy Director

- Responsible for all aspects of NCTC operations and management, including serving as Acting Director when current Director is away.
- In support of the NCTC Director, responsible for setting the strategic direction and vision for NCTC workforce and leadership team.
- Responsible for representing NCTC in senior level interagency fora, with foreign partners, and with the Congress.

Special Assistant to the President and Senior Director for CT, National Security Council Staff

- Managed and led team of 12 counterterrorism professionals providing staff support to the President, the National Security Advisor and the President's CT/Homeland Security Advisor on all aspects of intelligence, policy, strategy and operations related to terrorism.

- Conceptualized and developed CT strategies and policies. Managed and led the Counterterrorism Security Group (CSG), the primary Assistant Secretary level interagency policy coordinating body for CT issues.

Chief of Strategic Assessments, NCTC (and other senior positions at NCTC from 2004 – 2007)

- Participated in “standup” of NCTC, including creation of Directorate of Strategic Operational Planning.
- Drafted first NCTC Strategic Assessment of USG counterterrorism efforts.
- Advised NCTC Director on policy/strategy issues.

Director for Regional CT Affairs, National Security Council Staff

- Contributed to development of policy and strategy against al-Qa’ida and affiliated terrorist groups in the period directly after the 9/11 attacks.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

In 2000/2001, I was awarded an International Affairs Fellowship (IAF) by the Council on Foreign Relations. For the period Jan – Aug, 2001, I served as an IAF Fellow in Residence at the CFR offices in Washington DC. I terminated the fellowship in August 2001 in order to accept a detail assignment to the National Security Council staff.

During my tenure at the State Department, I was the recipient of multiple Superior Honor Awards, both for individual and team accomplishment.

While serving at NCTC, I was awarded The NCTC Director’s Award in 2005, as well as several performance awards.

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
None		

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO.) IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

My only published writing consists of a short, opinion-editorial piece related to the Middle East peace process published in the Los Angeles Times during the time when I was serving as a Fellow at the Council on Foreign Relations during the spring of 2001. I am prepared to provide the Committee with a copy of the publication.

On several occasions as NCTC Deputy Director, I have given speeches or appeared at conferences as a panelist on a counterterrorism (CT)-related panel discussion. None have been formally published as speeches, but I have attached prepared remarks for five such events which took place in a public setting where press or other attention might have been generated.

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

I believe I have the experience and qualifications necessary to serve as Director of NCTC based on over 20 years of service in the national security field.

The first phase of my career -- spent in the State Department from 1991 through 2000 -- provided me with exposure to some of our nation's most challenging national security issues, including North Korea nuclear ambitions, the Middle East Peace Process, and our efforts to create stable security architecture in the Arabian Gulf region after the first Gulf War. These experiences allowed me to develop critical thinking and analytical skills which proved transferrable as my career progressed and I began working in the CT field.

In the period since 9/11, I have held a series of demanding, high-impact positions in organizations dedicated to protecting the American people from terrorist attack, advancing our efforts to disrupt and defeat our terrorist adversaries. I have gained a tremendous amount of experience with terrorism-related issues and demonstrated the leadership, collegiality and professional integrity required to run a large organization with a critical mission. I have a deep understanding of terrorism-related subject matter, including the gaps we have in our understanding of terrorism and terrorism organizations.

My prior service on National Security Council staff (2001-2004) and later at NCTC (2004-2007) provided me with several years of intensive training and experience in the details of CT policy, strategy and analysis, beginning in the aftermath of the 9/11 attack. Working in an often highly pressurized environment, those earlier periods of service afforded me the opportunity to develop crisis management skills by observing our most senior officials in action.

Immediately prior to my service as NCTC Deputy Director, I served as Senior Director for Counterterrorism at the National Security Council staff at the White House. In this capacity, I served both President Bush and President Obama in their efforts to develop CT strategies and policies aimed at defeating al-Qa'ida and mitigating the full range of terrorist threats to U.S. interests. For approximately four years, I chaired the Counterterrorism Security Group (CSG), the Assistant Secretary level policy committee that meets weekly to review terrorism threats and to develop appropriate policy responses.

Leadership of the CSG required that I have an in-depth understanding of the capabilities, resources and authorities resident within each of the key federal agencies/departments engaged in CT work, including the departments/agencies that are part of the Intelligence Community. It also required that I develop and maintain a network of productive professional relationships with key CT leaders across the federal government. That network of professional peers and colleagues continues to serve me well in my current position at NCTC, and I have no doubt that my relationships with these peers/colleagues would deepen and expand if I am confirmed by the Senate to serve as NCTC Director.

As Deputy Director and now as the Acting Director of NCTC, I have spent the last two years fully immersed in all aspects of NCTC's missions. As our highest priority, the NCTC Director and I ensured that all available terrorism information was analyzed and assessed and shared as appropriate with the widest possible set of partners, customers and consumers. I represented NCTC and the Intelligence Community in hundreds of interagency meetings aimed at mitigating threats to U.S. interests and developing more effective CT policies and strategies. The result has been, in my view, a growing sense that NCTC is at the cutting edge of the federal government's response to the challenge of terrorism.

In the wake of specific terrorism-related incidents affecting U.S. citizens or interests, I have led internal NCTC processes to review critically our own performance and capabilities and to identify and develop concrete ways in which we can more effectively carry out our CT mission. I have sought to inculcate in our workforce a desire to constantly improve and enhance our performance and our support to our CT partners.

During the last year, I have led efforts to establish NCTC as a center of gravity for the national CT effort, placing greater emphasis on our efforts to collaborate, cooperate and add value. As part of that effort, NCTC's Director and I recently created an Office of Data Strategy and Innovation, which we have charged with the task of making sure NCTC is at the cutting edge of our nation's efforts to extract maximum analytic value from the terrorism-related information we have at NCTC. If confirmed by the Senate and given the opportunity to serve as Director of NCTC, I believe I would be well positioned to advance NCTC's organizational growth and development, and to make a meaningful contribution to our national security through my leadership of NCTC's extremely talented and dedicated work force.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS):

None

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE):

None

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

None

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

Not Applicable

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

Not Applicable

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

As a career civil servant, it is my current intention to continue in government service until I am eligible to retire. After completing government service, I would hope to remain engaged in government affairs and/or national security policy in some capacity. At this point, I have no specific plans, nor do I have any agreements or understandings in place related to employment after I complete the period of my government service.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

No

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

My spouse is not currently employed.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
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None

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES

AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

I have received gifts from non-federal entities in the performance of my government duties. In each instance, government ethics attorneys and ethics officials have provided guidance and approval for the acceptance of any gifts.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

<u>DESCRIPTION OF PROPERTY</u>	<u>VALUE</u>	<u>METHOD OF VALUATION</u>
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[INFORMATION REDACTED]

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

<u>NATURE OF OBLIGATION</u>	<u>NAME OF OBLIGEE</u>	<u>AMOUNT</u>
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[INFORMATION REDACTED]

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

[INFORMATION REDACTED]

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

[INFORMATION REDACTED]

[INFORMATION REDACTED]

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

In addition to our federal income tax returns, we file an income tax return in the Commonwealth of Virginia.

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

Not applicable

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES,

PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

Yes, for years 2011, 2012 and 2013.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS.

No

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS.

No

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS.

No

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

[INFORMATION REDACTED]

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR

STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS.

Yes. I was interviewed during 2013 in connection with an FBI investigation concerning compromise/leak of classified information related to a disrupted terrorism plot in 2010. I was also interviewed during 2014 in connection with an FBI counterintelligence investigation. In both cases, I was interviewed because I was included in a large number of people who had access by virtue of position to the information that was reportedly compromised. In neither case was I the subject or the target of the investigation.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

I have never personally been the subject of an inspector general investigation. I have cooperated with and participated in inspector general investigations or reviews of component organizations in which I served.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes. In 2004, I was required to take a polygraph examination in order to accept a detail assignment from the State Department to the National Counterterrorism Center. The exam was administered by the United States Secret Service on behalf of the State Department. In 2013, I was required to take a polygraph exam in connection with my ODNI/NCTC security clearance reinvestigation.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE NATIONAL COUNTERTERRORISM CENTER AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

The concept of congressional oversight for intelligence activities is grounded in both law and established practice. Under sections 501-503 of the National Security Act of 1947 (as amended), the President, and by extension the leaders of the Intelligence Community, have an affirmative obligation to keep the responsible congressional intelligence committees fully and currently informed of significant intelligence activities – including any significant anticipated intelligence activities and significant intelligence failures. This obligation applies to the National Counterterrorism Center and the Director of NCTC.

In the late 1970s, both the Executive and Legislative Branches of government determined that formal oversight of the Intelligence Community (IC) was both necessary and desirable. Congress, as the elected representatives of the American public, would execute this oversight of the IC on behalf of the American public, while maintaining the security and secrecy necessary for intelligence agencies to perform their mission. In turn, the Intelligence Community and its leaders were obligated to keep the Congress fully and currently informed on their activities.

IC policies and procedures for meeting this obligation are delineated in Intelligence Community Directive 112, requiring Intelligence Community elements to provide full and current written notification to the congressional intelligence committees of significant anticipated intelligence actions, significant intelligence failures, and illegal activities. In my current position and during my tenure as Acting Director, I have fully carried out this obligation. If confirmed, I intend to continue carrying out in full this obligation as set forth in both law and IC policy.

In keeping with established House and Senate rules, the majority of the NCTC's congressional oversight is conducted by the Senate Select Committee on Intelligence (SSCI), the House Permanent Select Committee on Intelligence (HPSCI) and the Defense Subcommittees of the House and Senate Appropriations Committees. Collectively, these are referred to "congressional intelligence committees."

Beyond being required by statute, congressional oversight of intelligence activities is critical and essential to the proper functioning of a representative democracy. Because a high degree of secrecy is required to protect much of what the IC does, the American public is not afforded the opportunity to speak for or against those activities, to question their purpose or necessity, or to evaluate their continued effectiveness. The congressional intelligence committees provide this function and service by representing collectively the opinions and perspectives of their constituents and the broader American public. It is my belief that the members of these committees, and their staffs, have invested significant time and effort in developing an advanced understanding of the complex issues associated with intelligence collection, analysis and operations, thereby establishing themselves as the recognized subject matter experts on intelligence matters within their respective chambers.

The unfortunate series of leaks and disclosures we have seen in recent years has led the Congress to re-examine intelligence activities previously authorized in the period following the 9/11 attacks on our country. While the public way in which this debate is proceeding produces difficult challenges and is not without risk in terms of bringing some degree of advantage to our adversaries, debate and dialogue over these issues is necessary and essential, and in my view, should be welcomed. The members of the Intelligence Community, like all federal public servants, serve as stewards of the public trust. Should the public's elected representatives choose to modify or change the laws that authorize and bound the activities of the IC, the leaders of the Community are obligated to operate within those revised boundaries.

If I were to be confirmed as the Director of the National Counterterrorism Center, I would pledge to operate within the boundaries of the law, and to keep the congressional intelligence committees fully and

currently informed of NCTC activities in order to facilitate the conduct of proper oversight of NCTC by the legislative branch.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE DIRECTOR OF THE NATIONAL COUNTERTERRORISM CENTER.

The statutory responsibilities of the National Counterterrorism Center and its Director are delineated in section 1021 of the Intelligence Reform and Terrorism Prevention Act of 2004. The law requires the Director to report to the President of the United States when exercising the Center's whole-of-government strategic operational planning functions, and to the Director of National Intelligence for all other activities and functions.

NCTC's mission, and that of its Director, is focused singularly on the prevention, detection and disruption of acts of terrorism directed against the United States and its interests both at home and abroad. The largest share of NCTC resources is aimed at providing all-source intelligence analysis, ranging from strategic to tactical, and incorporating source information from across the full spectrum of collection disciplines. NCTC's unique statutory authorities allow its personnel to draw upon both foreign and domestic intelligence to produce integrated analysis.

Beyond its analytical mission, NCTC and its Director are responsible for ensuring that federal departments and agencies are provided with all-source intelligence support to execute assigned counterterrorism activities. NCTC has positioned itself as the information sharing and collaboration hub of an Intelligence Community architecture focused on terrorism issues. NCTC has developed a regular battle rhythm of written products, secure video teleconferences and other mechanisms to ensure that federal departments and agencies have access to the latest, timeliest reporting and analysis on CT issues. In addition to serving federal partners in the executive branch, NCTC also supports the Congress with a constant flow of information and analysis, both to the appropriate committees of the Congress, as well as to the Capitol Police Executive Board. NCTC is also playing an increasingly important role in providing terrorism information and analysis to the state, local and tribal community of customers, working closely with FBI and DHS.

The Center and its Director are also responsible for maintaining the central and shared knowledge bank on known and suspected terrorists (KSTs), international terror groups, as well as the networks of support associated with KSTs and known terrorist groups. NCTC accomplishes this mission through the design, operation and maintenance of a classified database called TIDE, or the Terrorism Identities Datamart Environment. Operating under interagency developed and approved watchlisting guidance, identifying information on KSTs is provided to the FBI's Terrorist Screening Center (TSC) to support watchlisting and screening by other federal agencies and departments.

Finally, NCTC conducts whole-of-government planning to support the government's CT strategies and plans. This is one area of its mandate where NCTC responsibilities extend beyond the bounds of the Intelligence Community, requiring that NCTC planners engage and coordinate with partners all across the federal government to ensure that all elements of national power are mobilized to counter our terrorist adversaries. Working in close cooperation with the National Security Council staff, NCTC supports the President in the development of appropriate CT strategies and plans.

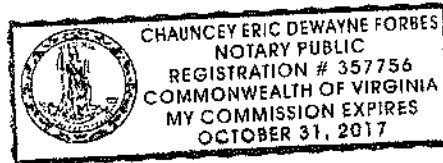
Finally, the Director of NCTC also serves as the National Intelligence Manager on CT intelligence matters for the Director of National Intelligence. In this capacity, NCTC leads the CT Community in identifying knowledge gaps in CT analysis and then focusing collection/production resources on closing those gaps.

AFFIRMATION

I, Nicholas J. Rasmussen, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

11/13/2014 [SIGNATURE]
(Date) (Name,

[SIGNATURE]
(Notary)



TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Director of the National Counterterrorism Center, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

_____ [SIGNATURE]
Signature

Date: 11/13/2014



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

NOV 17 2014

The Honorable Dianne Feinstein
Chairman
Select Committee on Intelligence
United States Senate
Washington, DC 20510

Dear Madam Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Nicholas J. Rasmussen, who has been nominated by President Obama for the position of Director, National Counterterrorism Center, Office of the Director of National Intelligence.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

[SIGNATURE]

Walter M. Shaub, Jr.
Director

Enclosures

NOV 14 2014

Susan Gibson
Designated Agency Ethics Official
Office of the Director of National Intelligence
Washington, D.C. 20511

Dear Ms. Gibson,

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest if confirmed for the position of the Director, National Counterterrorism Center, Office of the Director of National Intelligence.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as an officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I understand that as an appointee I am required to sign the Ethics Pledge (Exec. Order No. 13490) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this ethics agreement.

I have been advised that this ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with other ethics agreements of Presidential nominees who file public financial disclosure reports.

Sincerely,

[SIGNATURE]

Nicholas J. Rasmussen

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes)	Incumbent <input type="checkbox"/>	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate <input checked="" type="checkbox"/>	Termination Filer <input type="checkbox"/>	Termination Date (If Applicable) (Month, Day, Year)	<p style="text-align: center;">Fee for Late Filing</p> <p>Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.</p> <p style="text-align: center;">Reporting Periods</p> <p>Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.</p> <p>Nominees, New Entrants and Candidates for President and Vice President:</p> <p>Schedule A--The reporting period for Income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.</p> <p>Schedule B--Not applicable.</p> <p>Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.</p> <p>Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.</p> <p>Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p>
Reporting Individual's Name	Last Name RASMUSSEN		First Name and Middle Initial NICHOLAS J.				
Position for Which Filing	Title of Position Director, NCTC		Department or Agency (if Applicable) ODNI				
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code) 1500 Tysons McLean Drive, McLean VA 22101			Telephone No. (Include Area Code) 571-280-6181			
Position(s) Held with the Federal Government During the Preceding 12 Months (if Not Same as Above)	Title of Position(s) and Date(s) Held Deputy Director, NCTC June, 2012 to Present (August 2014)						
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination Not Applicable <u>Select Committee on Intelligence</u>			Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Certification	Signature of Reporting Individual				Date (Month, Day, Year)		
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	[SIGNATURE]				11/14/2014		
Other Review (if desired by agency)	Signature of Other Reviewer				Date (Month, Day, Year)		
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official				Date (Month, Day, Year)		
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	[SIGNATURE]				11/17/2014		
Office of Government Ethics Use Only	Signature				Date (Month, Day, Year)		
	[SIGNATURE]				11/17/2014		
Comments of Reviewing Officials: (If additional space is required, use the reverse side of this sheet)							
① per ethics official, ODNI. 11/17/14. (Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>							
(Check box if comments are continued on the reverse side) <input type="checkbox"/>							
Agency Use Only							
OGE Use Only							

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name RASMUSSEN, NICHOLAS J.	SCHEDULE B	Page Number 3 of 5
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Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
	Example Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$350 and (2) travel-related cash reimbursements received from one source totaling more than \$350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$140 or less. See instructions for other exclusions.

None

	Source (Name and Address)	Brief Description	Value
	Examples Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/13/99 (personal activity unrelated to duty)	\$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	\$385
1			
2			
3			
4			
5			

Reporting Individual's Name RASMUSSEN, NICHOLAS J.	SCHEDULE C	Page Number 4 of 5
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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude

a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)													
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x											
	John Jones, Washington, DC	Promissory note	1999	10%	on demand						x								
1	Wells Fargo Bank	Mortgage on primary residence	2012	3%	15				X										
2																			
3																			
4																			
5																			

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1			
2			
3			
4			
5			
6			

Reporting Individual's Name RASMUSSEN, NICHOLAS J.	SCHEDULE D	Page Number 5 of 5
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit

organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other

non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State Metru University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1		
2		
3		
4		
5		
6		